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TO:

Examiner Baoquoc N. To

Group Art Unit No. 2172

FAX NO.:

571-273-8300

DATE:

October 19, 2006

FROM:

Christopher R. Carroll

USER ID:

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CLIENT:

01194

MATTER:

13035USP1

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PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction act of 1995, no persona are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL Application Number 09/681.471 FORM Filing Date April 13, 2001 First Named Inventor Silva-Craig, et al. Art Unit 2162 Examiner Name To, Baoquoc N. (to be used for all correspondence after initial filing) Attorney Docket Number 15-IS-5715 (13035US01) Total Number of Pages in This Submission 10 ENCLOSURES (check all that apply) 🔀 Fee Transmittal Form Drawing(s) After Allowance Communication to TC Fee Attached Licensing-related Papers Appeal Communication to Board ___ Amendment/Reply Petition of Appeals and Interferences After Final Petition to Convert to a Appeal Communication to TC Provisional Application (Appeal Notice; Brief, Reply Brief) Affidavits/declaration(s) Power of Attorney, Revocation Proprietary Information Extension of Time Request Change of Correspondence Status Letter Address Express Abandonment Request Terminal Disclaimer Return-Receipt Postcard Information Disclosure Statement Other Enclosure(s) (please Request for Refund identify below): Certified Copy of Priority CD Number of CD(s) PRE-APPEAL BRIEF REQUEST Document(s) FOR REVIEW and Landscape Table on CD Reply to Missing Parts/ NOTICE OF APPEAL Incomplete Application ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53 Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual Name McAndrews Held & Malloy, Ltd. Christopher R. Carroll Registration No. (Attorney/Agent) Name (Print/type) 52,700 Signature Date: October 19, 2006 CERTIFICATION OF FACSIMILE TRANSMISSION I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (FAX No. (571) 273-8300), on October 19, 2006. Christopher R. Carroll Churtopher R. Canol

FROM McANDREWS, HELD, & MALLOY

Total Claims

Indep. Claims

Extra Claims

Extra Claims

-20 or HP

HP = highest number of total claims paid for, if greater than 20

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360

Multiple Dependent Claims

<u>Fee</u>

180

Fee Paid (\$)

Approved for use through 07/31/2008 OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Effective on 12/08/2004. Complete if Known Fees pursuant to the consolidated Appropriates Act. 2005 (H.R. 4818) 09/681,471 Application Number FEE TRANSMITTAL Filing Date April 31, 2001 for FY 2005 First Named Inventor Silva-Craig et al. Examiner Name To, Baoquoc N Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2162 TOTAL AMOUNT OF PAYMENT 620.00 Attorney Docket No. 15-!S-5715 (13035US01) METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 50-2401 Deposit Account Name: GEMS-IT For the above-identified deposit account, the Director is hereby authorized to (check all that apply) Charge Fee(s) indicated below Charge Fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fees(s) under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES** SEARCH FEES **Small Entity** Small Entity <u>Small Entity</u> **Application Type** Fee (\$) Fee(\$) Fee(\$) Fees Paid(\$) Fee(\$) Fee(\$) Fee(\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 ŔΩ Reissue 300 150 500 250 600 300 Provisional 200 100 0 0 0 0 2. EXCESS CLAIM FEES Small Entity Fee Description Fee(\$) Fee(\$) Each claim over 20, or for Heissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 Multiple dependent claims

3	or HP	x	=					
HP = highest number of independent claims paid for, if greater than 3								
3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Total Sheets	Extra Sheets		Number of each additional 50 or fraction	n thereof	Fee(\$)	Fee Paid(\$)		
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4. OTHER FEE(S)						Fee Paid(\$)	_	
Non-English Specifica	ation, \$130 fee (no sma	il entity	discount)					

Fee Paid (\$)

Fee Paid (\$)

Fee(\$)

Fee(5)

Non-En	glish Specification, \$130 fee (no small entity discount)	
Other:	NOTICE OF APPEAL (\$500.00) and ONE MONTH EXTENSION OF TIME (\$120.00)	\$620.00
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SUBMITTE	D BV	

	Signature	Churtarker R. Candl	Registration No. (Attorney/Agent)	\$2,700	Telephone	(312)775-8000
ı	Name (print/type)	Christopher R. Carroll			Date	October 19, 2006